

# Simplicial models for trace spaces

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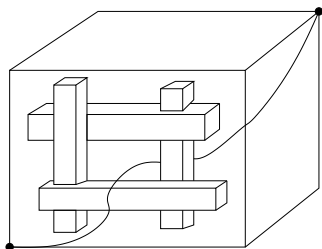
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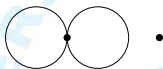
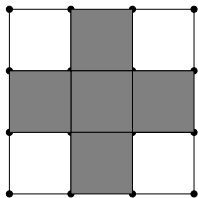
# State space and model of trace space

Problem: How are they related?

Example:



State space  $X =$   
a cube  $\mathbb{T}^3 \setminus F$   
minus 4 box obstructions

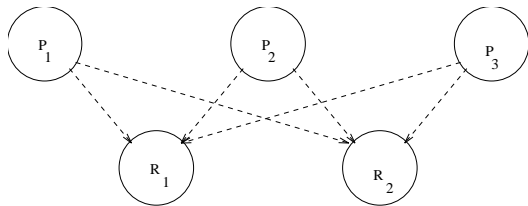


Model  $\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$  of trace space  
contained in a torus  $(\partial\Delta^2)^2$  –  
homotopy equivalent to a  
wedge of two circles and a  
point:  $(S^1 \vee S^1) \sqcup *$

# Motivation: Concurrency

A simple model for mutual exclusion

**Mutual exclusion** occurs, when  $n$  processes  $P_i$  compete for  $m$  resources  $R_j$ .



Only  $k$  processes can be served at any given time.

**Semaphores!**

Semantics: A processor has to lock a resource and to relinquish the lock later on!

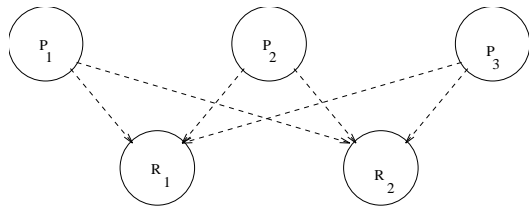
**Description/abstraction**  $P_i : \dots PR_j \dots VR_j \dots$  (E.W. Dijkstra)

$P$ : pakken;  $V$ : vrijlaten

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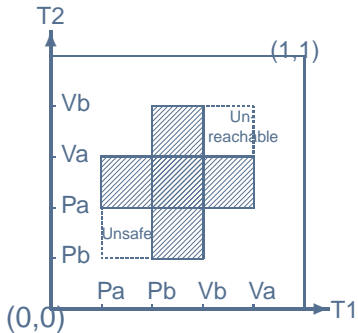
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# A geometric model: Schedules in "progress graphs"

The Swiss flag example



PV-diagram from

$P_1 : P_a P_b V_b V_a$

$P_2 : P_b P_a V_a V_b$

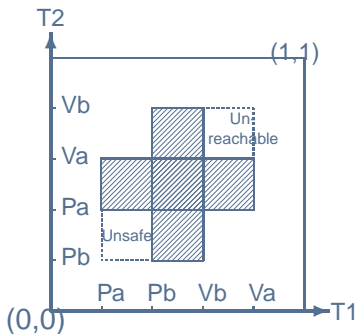
Executions are **directed paths** – since time flow is irreversible – avoiding a **forbidden region** (shaded).

Dipaths that are **dihomotopic** (through a 1-parameter deformation consisting of dipaths) correspond to **equivalent** executions.

Deadlocks, unsafe and unreachable regions may occur.

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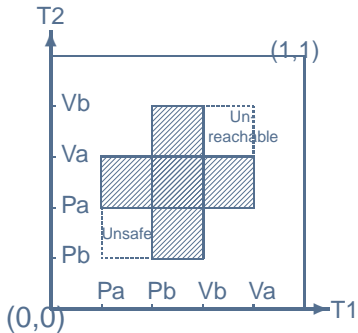
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# Simple Higher Dimensional Automata

## Semaphore models

A **linear PV**-program can be modelled as the complement of a **forbidden region**  $F$  consisting of a number of **holes** in an  $n$ -cube  $I^n$ :

Hole = **isothetic hyperrectangle**  $R^i$  in an  $n$ -cube.

State space

$$X = \vec{I}^n \setminus F, \quad F = \bigcup_{i=1}^l R^i, \quad R^i = ]a_1^i, b_1^i[ \times \cdots \times ]a_n^i, b_n^i[.$$

with minimal vertex  $\mathbf{a}^i$  and maximal vertex  $\mathbf{b}^i$ .

$X$  inherits a partial order from  $\vec{I}^n$ .

More general PV-programs:

- Replace  $\vec{I}^n$  by a product  $\Gamma_1 \times \cdots \times \Gamma_n$  of **digraphs**.
- Holes have then the form  $p_1^i((0, 1)) \times \cdots \times p_n^i((0, 1))$  with  $p_j^i: \vec{I} \rightarrow \Gamma_j$  a directed injective (d-)path.
- **Pre-cubical complexes**: like pre-simplicial complexes, with (partially ordered) hypercubes instead of simplices as building blocks.

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# Main interest: Spaces of d-paths/traces – up to dihomotopy

- $X$  a **d-space**,  $a, b \in X$ .  
 $p: \vec{I} \rightarrow X$  a **d-path** in  $X$  (continuous and “order-preserving”)
- $\vec{P}(X)(a, b) = \{p: \vec{I} \rightarrow X \mid p(0) = a, p(b) = 1, p \text{ a d-path}\}$ .  
Trace space  $\vec{T}(X)(a, b) = \vec{P}(X)(a, b)$  modulo increasing reparametrizations.  
In most cases:  $\vec{P}(X)(a, b) \simeq \vec{T}(X)(a, b)$ .
- A **dihomotopy** on  $\vec{P}(X)(a, b)$  is a map  $H: \vec{I} \times I \rightarrow X$  such that  $H_t \in \vec{P}(X)(a, b)$ ,  $t \in I$ ; a path in  $\vec{P}(X)(a, b)$ .

**Aim:** Explicit description of the **homotopy type** of  $\vec{P}(X)(a, b)$ ; in particular of its **path components**, ie the dihomotopy classes of d-paths.

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# Covers of $X$ and of $\vec{P}(X)(\mathbf{0}, \mathbf{1})$

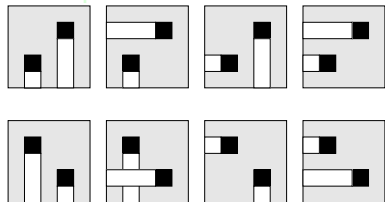
by contractible or empty subspaces

$X = \vec{I}^n \setminus F, F = \bigcup_{i=1}^l R^i; R^i = [\mathbf{a}^i, \mathbf{b}^i]; \mathbf{0}, \mathbf{1}$  the two corners in  $I^n$ .

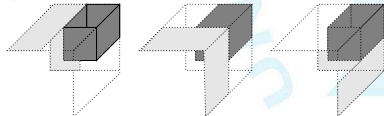
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$$\begin{aligned} X_{j_1, \dots, j_l} &= \{x \in X \mid \forall i : x_{j_i} \leq a_{j_i}^i \vee \exists k : x_k \geq b_k^i\} \\ &= \{x \in X \mid \forall i : x \leq \mathbf{b}^i \Rightarrow x_{j_i} \leq a_{j_i}^i\}, \quad 1 \leq j_i \leq n. \end{aligned}$$

Examples:



3D:



$$\vec{P}(X)(\mathbf{0}, \mathbf{1}) = \bigcup_{1 \leq j_1, \dots, j_l \leq n} \vec{P}(X_{j_1, \dots, j_l})(\mathbf{0}, \mathbf{1}).$$

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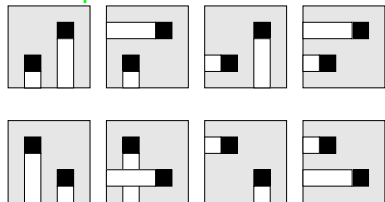
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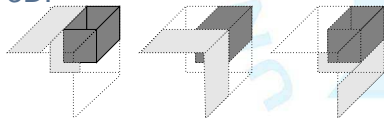
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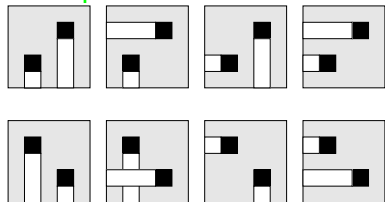
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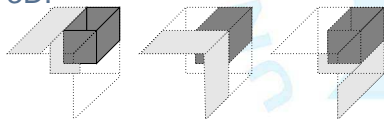
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# More intricate subspaces as intersections

either empty or contractible

## Definition

$$\begin{aligned} X_{J_1, \dots, J_l} &= \bigcap_{j_i \in J_i} X_{j_1, \dots, j_l}; & J_1, \dots, J_l &\subseteq [1 : n] \\ &= \{ \mathbf{x} \in X \mid \forall i, j_i \in J_i : \mathbf{x} \leq \mathbf{b}^i \Rightarrow \mathbf{x}_{j_i} \leq \mathbf{a}_{j_i}^i \} \end{aligned}$$

## Theorem

$\emptyset \neq J_1, \dots, J_l \subseteq [1 : n] \Rightarrow$   
 $\vec{P}(X_{J_1, \dots, J_l})(\mathbf{0}, \mathbf{1})$  is either *empty* or *contractible*.

## Proof.

relies on: Subspaces  $X_{J_1, \dots, J_l}$  are closed under  $\vee = \text{l.u.b.}$   $\square$

**Question:** For which  $J_1, \dots, J_l \subseteq [1 : n]$  is

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# Combinatorics: Bookkeeping with binary matrices

$M_{l,n}$  poset ( $\leq$ ) of binary  $l \times n$ -matrices

$M_{l,n}^R$  no row vector is the zero vector

$M_{l,n}^C$  every column vector is a unit vector

Restriction to Index sets  $\leftrightarrow$  Matrix sets

$$(\mathcal{P}([1:n]))^l \leftrightarrow M_{l,n}$$

$$J = (J_1, \dots, J_l) \mapsto M^J = (m_{ij}), \quad m_{ij} = 1 \Leftrightarrow j \in J_i$$

$$J^M \leftarrow M \quad J_i^M = \{j \mid m_{ij} = 1\}$$

$l$ -tuples of subsets  $\neq \emptyset \leftrightarrow M_{l,n}^R$

$$\{(K_1, \dots, K_l) \mid [1:n] = \bigsqcup K_i\} \leftrightarrow M_{l,n}^C$$

$$X_M := X_{J^M}, \quad \vec{P}(X_M)(\mathbf{0}, \mathbf{1}) = \vec{P}(X_{J^M})(\mathbf{0}, \mathbf{1}) \neq \emptyset?$$

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# A combinatorial model and its geometric realization

## First examples

Poset category – Combinatorics

$$\mathcal{C}(X)(\mathbf{0}, \mathbf{1}) \subseteq M_{l,n}^R \subseteq M_{l,n}$$

$$J \leftrightarrow M \in \mathcal{C}(X)(\mathbf{0}, \mathbf{1})$$

Prodsimplicial complex – Topology

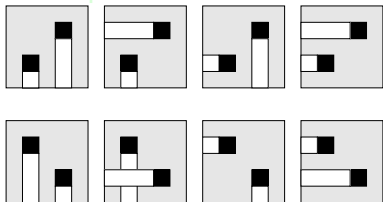
$$\mathbf{T}(X)(\mathbf{0}, \mathbf{1}) \subseteq (\Delta^{n-1})^I$$

$$\Delta_{J_1}^{|J_1|-1} \times \cdots \times \Delta_{J_i}^{|J_i|-1} \subseteq \mathbf{T}(X)(\mathbf{0}, \mathbf{1})$$

$$\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$$

$$\Leftrightarrow \vec{P}(X_M)(\mathbf{0}, \mathbf{1}) \neq \emptyset.$$

Examples:



- $\mathbf{T}(X_1)(\mathbf{0}, \mathbf{1}) = (\partial\Delta^1)^2 = 4*$

- $\mathbf{T}(X_2)(\mathbf{0}, \mathbf{1}) = 3*$

$$\begin{bmatrix} 1 & 0 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 0 & 1 \end{bmatrix}$$

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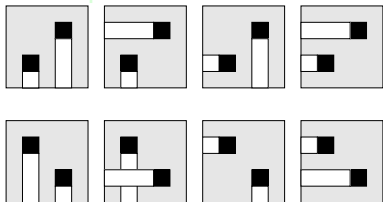
$$\mathbf{T}(X)(\mathbf{0}, \mathbf{1}) \subseteq (\Delta^{n-1})^I$$

$$\Delta_{J_1}^{|J_1|-1} \times \cdots \times \Delta_{J_I}^{|J_I|-1} \subseteq$$

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$$\Leftrightarrow \vec{P}(X_M)(\mathbf{0}, \mathbf{1}) \neq \emptyset.$$

Examples:



- $\mathbf{T}(X_1)(\mathbf{0}, \mathbf{1}) = (\partial\Delta^1)^2 = 4*$

- $\mathbf{T}(X_2)(\mathbf{0}, \mathbf{1}) = 3*$

$$\begin{bmatrix} 1 & 0 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 0 & 1 \end{bmatrix}$$

$$\supseteq \mathcal{C}(X)(\mathbf{0}, \mathbf{1})$$

# A combinatorial model and its geometric realization

## First examples

Poset category – Combinatorics

$$\mathcal{C}(X)(\mathbf{0}, \mathbf{1}) \subseteq M_{I,n}^R \subseteq M_{I,n}$$

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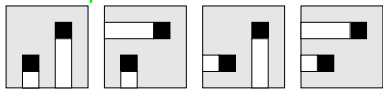
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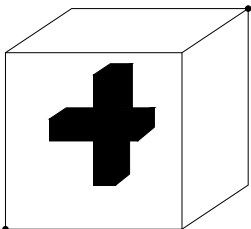
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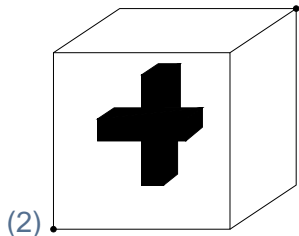
# Further examples

(1)  $X = \vec{I}^n \setminus \vec{J}^n$



- $\mathcal{C}(X)(\mathbf{0}, \mathbf{1}) = M_{1,n}^R \setminus \{[1, \dots, 1]\}$ .
- $\mathbf{T}(X)(\mathbf{0}, \mathbf{1}) = \partial\Delta^{n-1} \simeq S^{n-2}$ .
- $\mathcal{C}_{max}(X)(\mathbf{0}, \mathbf{1}) = \left\{ \begin{bmatrix} 0 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 & 1 \\ 1 & 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} \right\}$
- $\mathbf{T}(X)(\mathbf{0}, \mathbf{1}) = 3$  diagonal squares  $\subset (\partial\Delta^2)^2 = \mathbb{F}^2 \simeq S^1$ .

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# Homotopy equivalence between trace space $\vec{T}(X)(\mathbf{0}, \mathbf{1})$ and prodsimplicial complex $\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$

## Theorem

$$\vec{P}(X)(\mathbf{0}, \mathbf{1}) \simeq \mathbf{T}(X)(\mathbf{0}, \mathbf{1}) \simeq \Delta\mathcal{C}(X)(\mathbf{0}, \mathbf{1}).$$

## Proof.

- Functors  $\mathcal{D}, \mathcal{E}, \mathcal{T} : \mathcal{C}(X)(\mathbf{0}, \mathbf{1})^{(\text{op})} \rightarrow \mathbf{Top}$ :  
 $\mathcal{D}(J_1, \dots, J_l) = \vec{P}(X_{J_1, \dots, J_l})(\mathbf{0}, \mathbf{1})$ ,  
 $\mathcal{E}(J_1, \dots, J_l) = \Delta_{J_1}^{|J_1|-1} \times \dots \times \Delta_{J_l}^{|J_l|-1}$ ,  
 $\mathcal{T}(J_1, \dots, J_l) = *$
- $\text{colim } \mathcal{D} = \vec{P}(X)(\mathbf{0}, \mathbf{1})$ ,  $\text{colim } \mathcal{E} = \mathbf{T}(X)(\mathbf{0}, \mathbf{1})$ ,  
 $\text{hocolim } \mathcal{T} = \Delta\mathcal{C}(X)(\mathbf{0}, \mathbf{1})$ .
- The trivial natural transformations  $\mathcal{D} \Rightarrow \mathcal{T}, \mathcal{E} \Rightarrow \mathcal{T}$  yield:  
 $\text{hocolim } \mathcal{D} \cong \text{hocolim } \mathcal{T}^* \cong \text{hocolim } \mathcal{T} \cong \text{hocolim } \mathcal{E}$ .
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 $\text{hocolim } \mathcal{D} \simeq \text{colim } \mathcal{D}$ ,  $\text{hocolim } \mathcal{E} \simeq \text{colim } \mathcal{E}$ .

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# From $\mathcal{C}(X)(\mathbf{0}, \mathbf{1})$ to properties of trace space

Questions answered by homology calculations using  $\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$

- Is  $\vec{\mathcal{T}}(X)(\mathbf{0}, \mathbf{1})$  **path-connected**, i.e., are all (execution) d-paths dihomotopic (lead to the same result)?
  - Determination of **path-components**?
  - Are components **simply connected**?
- Other topological properties?

The prodsimplicial structure on  $\mathcal{C}(X)(\mathbf{0}, \mathbf{1}) \leftrightarrow \mathbf{T}(X)(\mathbf{0}, \mathbf{1})$  leads to an associated **chain complex** of vector spaces.

There are fast algorithms to calculate the **homology** groups of these chain complexes even for very big complexes.

Number of path-components:  $rkH_0(\mathbf{T}(X)(\mathbf{0}, \mathbf{1}))$ .

For path-components, there might be faster “discrete” methods.

Even if “exponential explosion” prevents precise calculations, inductive determination (**round by round**) of general properties ((simple) connectivity) may be possible.

**Implementation** in ALCOOL: progress at CEALIX-lab

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**Implementation** in ALCOOL: progress at CEA/LIX-lab.

# Deadlocks and unsafe regions determine $\mathcal{C}(X)(\mathbf{0}, \mathbf{1})$

A dual view: **extended** hyperrectangles  $R_j^i$

$:= [0, b_1^i[ \times \cdots \times [0, b_{j-1}^i[ \times ]a_j^i, b_j^i] \times [0, b_{j+1}^i[ \times \cdots \times [0, b_n^i[ \supset R^i.$

$$X_M = X \setminus \bigcup_{m_{ij}=1} R_j^i.$$

## Theorem

*The following are equivalent:*

- 1  $\bar{P}(X_M)(\mathbf{0}, \mathbf{1}) = \emptyset \Leftrightarrow M \notin \mathcal{C}(X)(\mathbf{0}, \mathbf{1}).$
- 2 *There is a map  $i : [1 : n] \rightarrow [1 : l]$  such that  $m_{i(j), j} = 1$  and such that  $\bigcap_{1 \leq j \leq n} R_j^{i(j)} \neq \emptyset$  – giving rise to a **deadlock unavoidable from  $\mathbf{0}$** .*
- 3 ***Mere combinatorics:** Checking a bunch of inequalities:*  
*There is a map  $i : [1 : n] \rightarrow [1 : l]$  such that  $a_j^{i(j)} < b_j^{i(k)}$  for all  $1 \leq j, k \leq n$ .*

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A matrix  $M = M(i) \in M_{l,n}^C$  is described by a (choice) map

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# Partial orders and order ideals on matrix spaces

and an order preserving map  $\Psi$

Consider  $\Psi : M_{l,n} \rightarrow \mathbf{Z}/2$ ,  $\Psi(M) = 1 \Leftrightarrow \vec{P}(X_M)(\mathbf{0}, \mathbf{1}) = \emptyset$ .

- $\Psi$  is order preserving, in particular:

$\Psi^{-1}(0), \Psi^{-1}(1)$  are closed in opposite senses:

$M \leq N : \Psi(N) = 0 \Rightarrow \Psi(M) = 0; \Psi(M) = 1 \Rightarrow \Psi(N) = 1$   
(thus  $\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$  prodsimplicial).

- $\Psi(M) = 1 \Leftrightarrow \exists N \in M_{l,n}^C$  such that  $N \leq M, \Psi(N) = 1$

$D(X)(\mathbf{0}, \mathbf{1}) = \{N \in M_{l,n}^C \mid \Psi(N) = 1\}$  – dead

$\mathcal{C}(X)(\mathbf{0}, \mathbf{1}) = \{M \in M_{l,n}^R \mid \Psi(M) = 0\}$  – alive

$\mathcal{C}_{\max}(X)(\mathbf{0}, \mathbf{1})$  maximal such matrices

characterized by:  $m_{ij} = 1$  apart from:

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Matrices in  $\mathcal{C}_{\max}(X)(\mathbf{0}, \mathbf{1})$  correspond to maximal simplex products in  $\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$ .

Example:  $X = \vec{I}^n \setminus \vec{J}^n, D(X)(\mathbf{0}, \mathbf{1}) =$

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# Partial orders and order ideals on matrix spaces

and an order preserving map  $\Psi$

Consider  $\Psi : M_{l,n} \rightarrow \mathbf{Z}/2$ ,  $\Psi(M) = 1 \Leftrightarrow \vec{P}(X_M)(\mathbf{0}, \mathbf{1}) = \emptyset$ .

- $\Psi$  is **order preserving**, in particular:

$\Psi^{-1}(0), \Psi^{-1}(1)$  are closed in opposite senses:

$M \leq N : \Psi(N) = 0 \Rightarrow \Psi(M) = 0; \Psi(M) = 1 \Rightarrow \Psi(N) = 1$   
(thus  $\mathbf{T}(X)(\mathbf{0}, \mathbf{1})$  **prodsimplicial**).

- $\Psi(M) = 1 \Leftrightarrow \exists N \in M_{l,n}^C$  such that  $N \leq M, \Psi(N) = 1$

$D(X)(\mathbf{0}, \mathbf{1}) = \{N \in M_{l,n}^C \mid \Psi(N) = 1\}$  – **dead**

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$\mathcal{C}_{\max}(X)(\mathbf{0}, \mathbf{1})$  maximal such matrices

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# From $D(X)$ to $\mathcal{C}_{max}(X)$

Minimal transversals in hypergraphs (simplicial complexes)

**Algorithmics:** Construct  $\mathcal{C}_{max}(X)(\mathbf{0}, \mathbf{1})$  incrementally (checking for one matrix  $N \in D(X)(\mathbf{0}, \mathbf{1})$  at a time), starting with matrix  $\mathbf{1}$ :

- 1  $N_{i+1} \not\leq M \in \mathcal{C}^i(X) \Rightarrow M \in \mathcal{C}^{i+1}(X)$ ;
- 2  $N_{i+1} \leq M \Rightarrow M$  is replaced by  $n$  matrices  $M^j$  with one additional 0. **Example:**  $X = \vec{I}^n \setminus \vec{J}^n$ .

A matrix in  $D(X)(\mathbf{0}, \mathbf{1})$  describes a **hyperedge** on the vertex set  $[1 : l] \times [1 : n]$ ;  $D(X)(\mathbf{0}, \mathbf{1})$  describes a **hypergraph**.

A **transversal** in a hypergraph is a vertex set that has **non-empty intersection with each hyperedge**

$\Leftrightarrow$  a matrix  $L$  such that  $\forall N \in D(X)(\mathbf{0}, \mathbf{1}) \exists (i, j) : l_{ij} = n_{ij} = 1$ .

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Conclusion: Search for matrices in  $A_{max}(\mathbf{0}, \mathbf{1})$  corresponds to search for **minimal transversals** in  $D(X)(\mathbf{0}, \mathbf{1})$ .

In our case: All hyperedges have same cardinality  $n$ , include one element per column.

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# Extensions

## 1. Obstructions intersecting the boundary of $I^n$

- Components

- More general semaphores (intersection with the boundary of  $I^n$  allowed)
- General end points:  $\vec{P}(X)(\mathbf{c}, \mathbf{d})$ ; iterative calculations; relations...
- End **complexes** rather than end points (allowing processes not to respond..., Herlihy & Cie)

Same technique, modification of definition and calculation of  $\mathcal{C}(X)(-, -)$ ,  $D(X)(-, -)$  etc.

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# Extensions

2a. Semaphores corresponding to **non-linear** programs:

Products of digraphs instead of  $\vec{I}^n$ :

$\Gamma = \prod_{j=1}^n \Gamma_j$ , state space  $X = \Gamma \setminus F$ ,

$F$  a product of generalized hyperrectangles  $R^i$ .

- $\vec{P}(\Gamma)(\mathbf{x}, \mathbf{y}) = \prod \vec{P}(\Gamma_j)(x_j, y_j)$  – homotopy discrete!

Represent a **path component**  $C \in \vec{P}(\Gamma)(\mathbf{x}, \mathbf{y})$  by (regular) d-paths  $p_j \in \vec{P}(\Gamma_j)(x_j, y_j)$  – an interleaving.

The map  $c : \vec{I}^n \rightarrow \Gamma$ ,  $c(t_1, \dots, t_n) = (c_1(t_1), \dots, c_n(t_n))$  induces a **homeomorphism**  $\circ c : \vec{P}(\vec{I}^n)(\mathbf{0}, \mathbf{1}) \rightarrow C \subset \vec{P}(\Gamma)(\mathbf{x}, \mathbf{y})$ .

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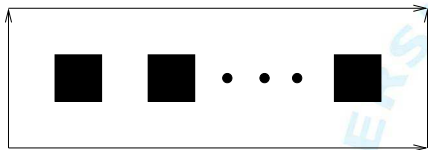
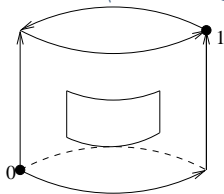
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## 2b. Semaphores: Topology of components of interleavings

Pull back  $F$  via  $c$ :

$\bar{X} = \vec{I}^n \setminus \bar{F}$ ,  $\bar{F} = \cup \bar{R}^i$ ,  $\bar{R}^i = c^{-1}(R^i)$  – honest hyperrectangles!



$i_X : \vec{P}(X) \hookrightarrow \vec{P}(\Gamma)$ .

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The d-map  $c : \bar{X} \rightarrow X$  induces a homeomorphism

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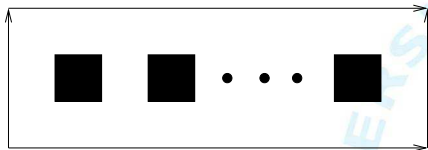
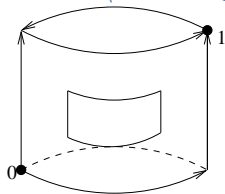
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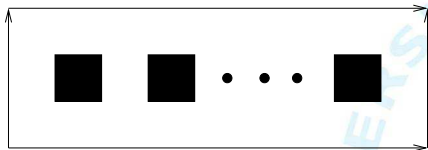
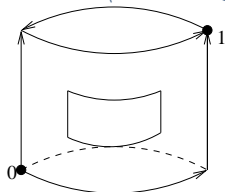
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## 3. D-paths in pre-cubical complexes

- Higher Dimensional Automaton: **Pre-cubical complex** with preferred directions. Geometric realization  $X$  with d-space structure.
- $P(X)(\mathbf{x}, \mathbf{y})$  is **ELCX** (equi locally convex). D-paths within a specified “cube path” form a **contractible** subspace.
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